



Physical Memory Analysis Fundamentals

Anniversary Edition

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Prerequisites

Working knowledge of:

- WinDbg (installation, symbols)
- Basic user process dump analysis
- Basic kernel memory dump analysis

Agenda (Summary)

- Basics
- Patterns
- Exercise
- Guide

Agenda (Basics)

- ④ Dump generation
- ④ Memory spaces
- ④ Major challenges
- ④ Common commands

Platform: Windows

The pattern-oriented approach is applicable to other OS through different memory analysis pattern implementations

Note: we do not discuss BSOD crashes here as most of the time kernel memory dumps are sufficient for analysis

Memory Analysis

Postmortem patterns

Live patterns

Dump Configuration

To Be Discussed Later

Truncated Dump pattern
Manual Dump pattern

- Control Panel \ System and Security \ System \ Advanced system settings \ Advanced \ Start-up and Recovery
- Page file size should be greater than the amount of physical memory by a few MB
- [Configuration for Server Core, small system partitions, or virtual disk systems](#)

Start-up and Recovery

System start-up

Default operating system:
Windows 10

Time to display list of operating systems: 30 seconds

Time to display recovery options when needed: 30 seconds

System failure

Write an event to the system log

Automatically restart

Write debugging information

Complete memory dump

Dump file:
%SystemRoot%\MEMORY.DMP

Overwrite any existing file

Disable automatic deletion of memory dumps when disk space is low

OK Cancel

Troubleshooting note:

HKLM \ SYSTEM \ CurrentControlSet \ Control \ CrashControl
CrashDumpEnabled = 1 (DWORD)

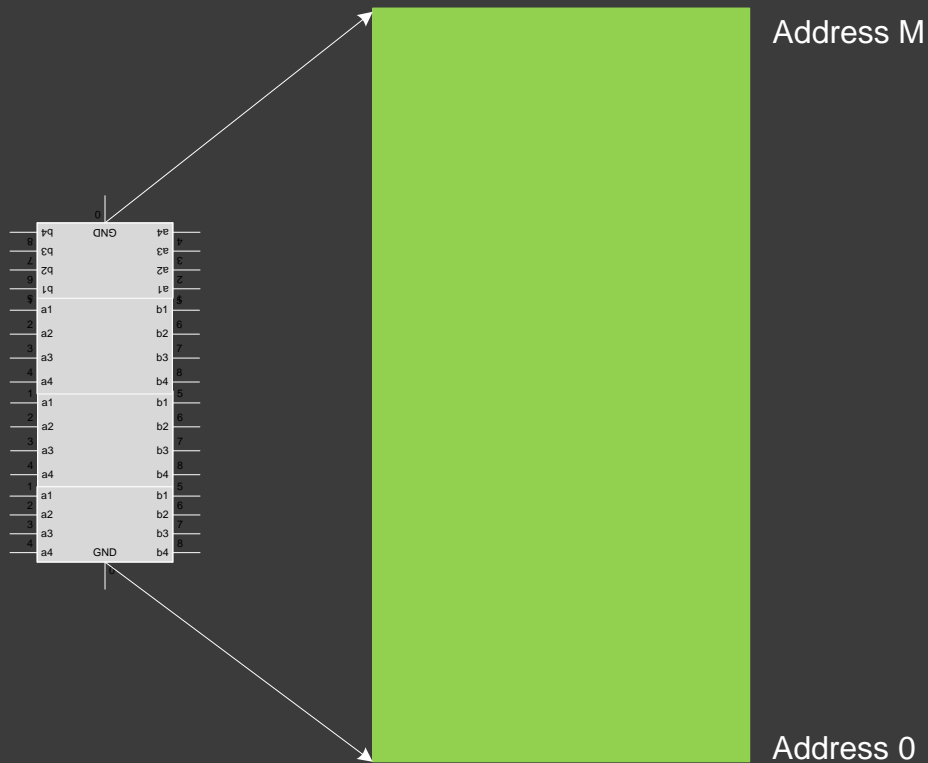
[No complete memory dumps saved in older systems](#)

[Page file preservation](#)

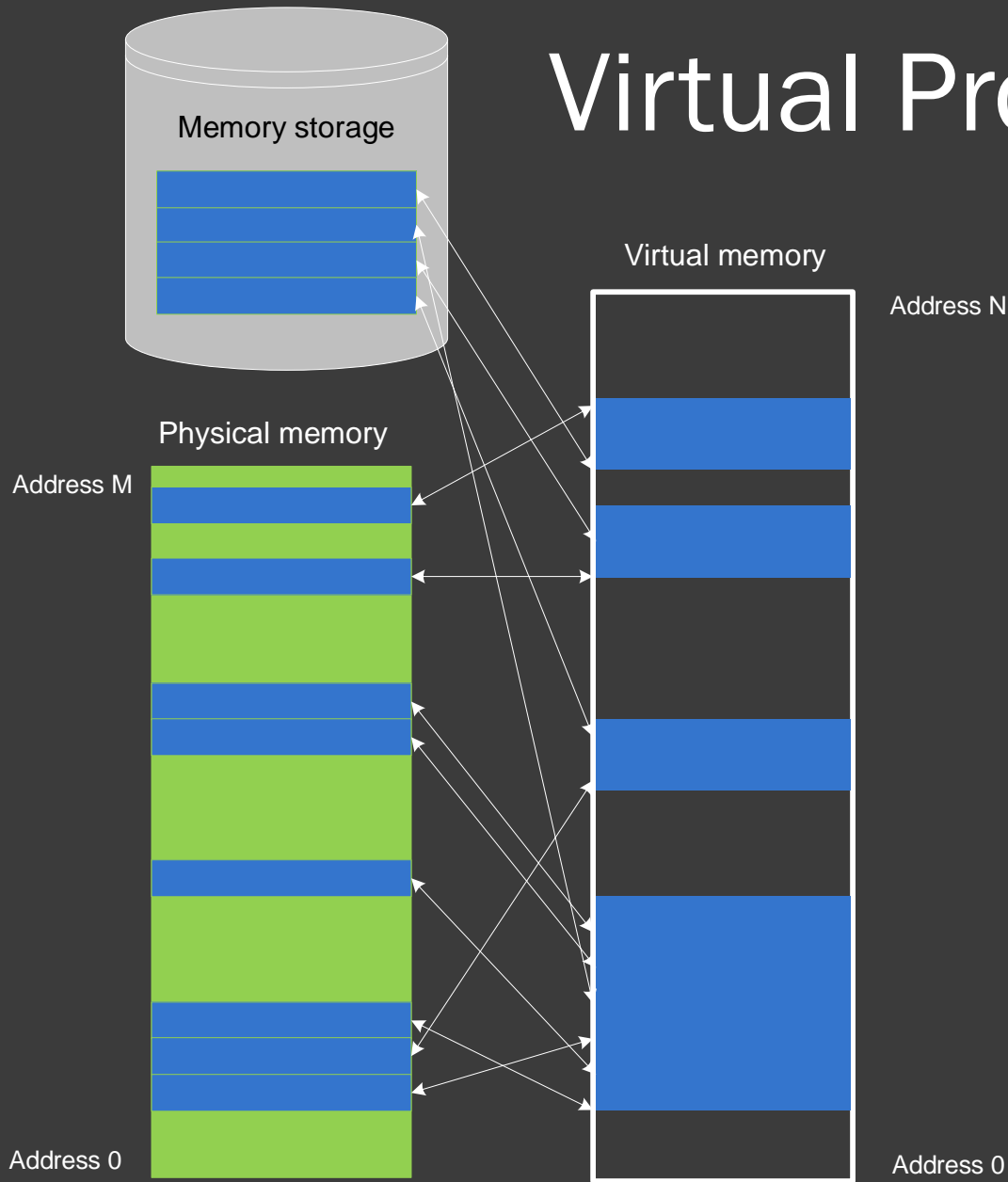
Dump and Memory Acquisition

- ◉ [General](#)
- ◉ Killing a system process like csrss.exe (-W8.1)
- ◉ [LiveKd](#) (options for more consistency)
- ◉ Live debugging (.dump)
- ◉ Memory forensic tools

Physical Memory



Virtual Process Memory



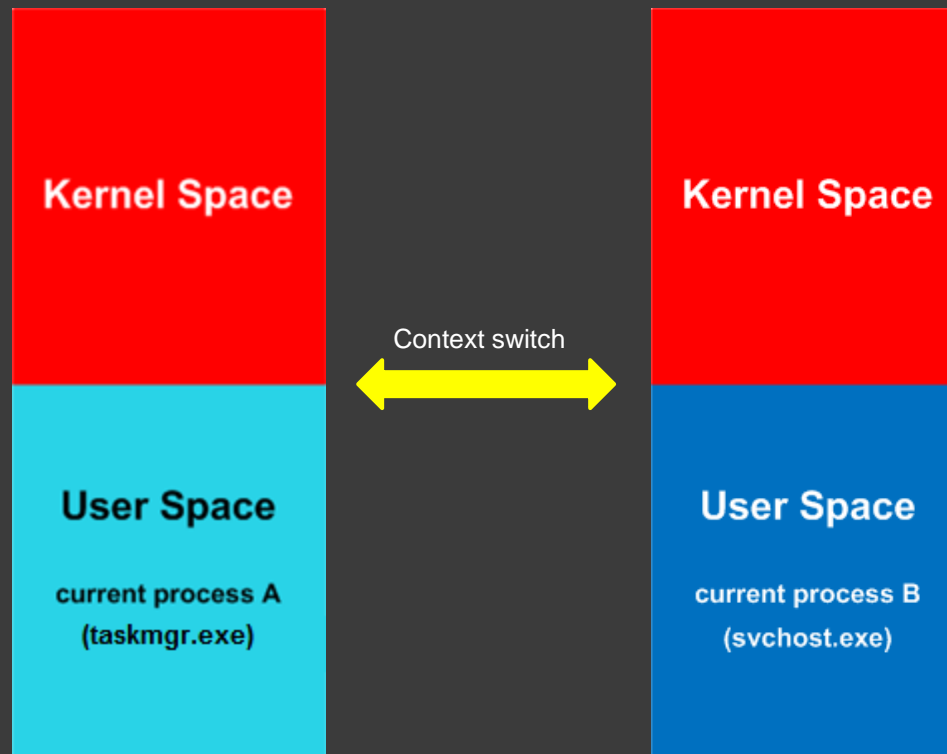
Memory Spaces

- Complete memory == Physical memory
- We always see the current virtual process space
- Kernel space is the same

To Be Discussed Later

WinDbg command to switch to a different process context:

.process



Major Challenges

- Vast memory space to search
- Multiple processes (user spaces) to examine
- User space view needs to be correct when we examine another thread
- Large file size (x64)

To Be Discussed Later

WinDbg extension command
to dump all stack traces:

```
!process 0 3f
```

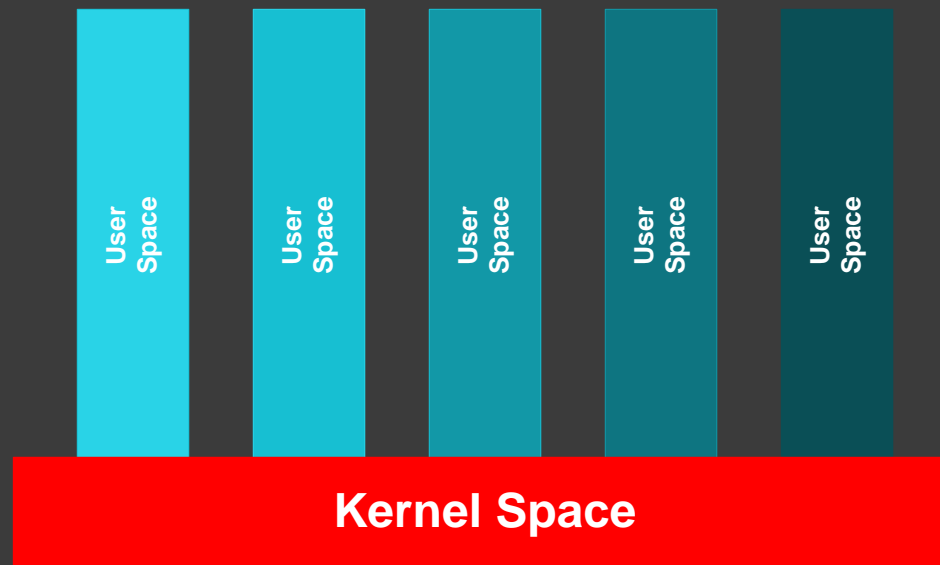


Fibre Bundles

The name borrowed from mathematics (topology)

Problem: mild freeze of a 128GB memory system

Solution: dump domain specific processes and generate a kernel memory dump



Common Commands

- ◉ **.logopen <file>**
Opens a log file to save all subsequent output
- ◉ **View commands**
Dump everything or selected processes and threads (context changes automatically)
- ◉ **Switch commands**
Switch to a specific process or thread for a fine-grain analysis

View Commands

- ◉ **!process 0 3f**
Lists all processes (including times, environment, modules) and their thread stack traces
- ◉ **!process 0 1f**
The same as the previous command but without PEB information (more secure)
- ◉ **!process <address> 3f or !process <address> 1f**
The same as the previous commands but only for an individual process
- ◉ **!thread <address> 1f**
Shows thread information and stack trace
- ◉ **!thread <address> 16**
The same as the previous command but shows the first 3 parameters for every function

Switch Commands

- **.process /r /p <address>**

Switches to a specified process. Its context becomes current. Reloads symbol files for user space.
Now we can use commands like !cs

```
0: kd> .process /r /p fffffa80044d8b30
Implicit process is now fffffa80`044d8b30
Loading User Symbols
.....
```

- **.thread <address>**

Switches to a specified thread. Assumes the current process context
Now we can use commands like k*

- **.thread /r /p <address>**

The same as the previous command but makes the thread process context current and reloads
symbol files for user space:

```
0: kd> .thread /r /p fffffa80051b7060
Implicit thread is now fffffa80`051b7060
Implicit process is now fffffa80`044d8b30
Loading User Symbols
.....
```


Agenda (Patterns)

- ① Pattern-oriented analysis
- ① Pattern classification
- ① Pattern examples
- ① Common mistakes

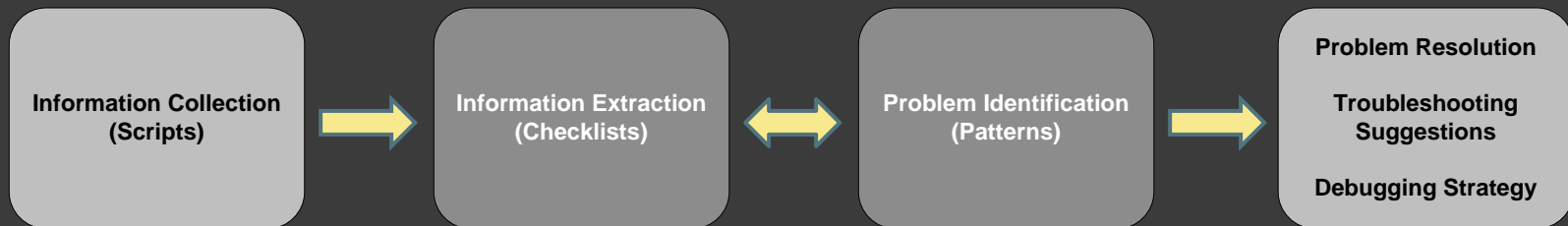
Pattern-Oriented Diagnostic Analysis

Diagnostic Pattern: a common recurrent identifiable problem together with a set of recommendations and possible solutions to apply in a specific context.

Diagnostic Problem: a set of indicators (symptoms, signs) describing a problem.

Diagnostic Analysis Pattern: a common recurrent analysis technique and method of diagnostic pattern identification in a specific context.

Diagnostics Pattern Language: common names of diagnostic and diagnostic analysis patterns. The same language for any operating system: Windows, Mac OS X, Linux, ...



Checklist: <http://www.dumpanalysis.org/windows-memory-analysis-checklist>

Patterns: <http://www.dumpanalysis.org/blog/index.php/crash-dump-analysis-patterns/>

Pattern Classes

- ⦿ Blocked threads
- ⦿ Wait chains
- ⦿ Resource consumption
- ⦿ Corruption signs
- ⦿ Special processes

Pattern Classification

<http://www.dumpanalysis.org/memory-dump-analysis-pattern-classification>

Memory Dump Analysis Pattern Classification

A partial classification of memory analysis patterns from Software Diagnostics Library pattern catalogue:

- Space/Mode
- Memory dump type
- Hooksware
- Wait Chain Patterns
- DLL Link Patterns
- Memory Consumption Patterns
- Dynamic Memory Corruption Patterns
- Deadlock and Livelock Patterns
- Contention Patterns
- Stack Overflow Patterns
- .NET / CLR / Managed Space Patterns
- Stack Trace Patterns
- Symbol Patterns
- Exception Patterns
- Meta-Memory Dump Patterns
- Module Patterns
- Optimization Patterns
- Thread Patterns
- Process Patterns
- Executive Resource Patterns
- Falsity and Coincidence Patterns
- RPC, LPC and ALPC Patterns
- Hidden Artifact Patterns
- Pointer Patterns

Example: Blocked Thread

```
THREAD fffff930ac49d0080 Cid 1ffc.109c Teb: 0000003c7ecd1000 Win32Thread: fffff930ac62b44b0 WAIT: (WrUserRequest) UserMode Non-Alertable
fffff930ac621fc80 QueueObject
Not impersonating
DeviceMap fffffcf8978c103a0
Owning Process fffff930ac55de080 Image: ApplicationA.exe
Attached Process N/A Image: N/A
Wait Start TickCount 49071 Ticks: 976 (0:00:00:15.250)
Context Switch Count 548 IdealProcessor: 1
UserTime 00:00:00.031
KernelTime 00:00:00.015
Win32 Start Address ApplicationA (0x00007ff64ed42c2c)
Stack Init fffffef8637e84c90 Current fffffef8637e84490
Base fffffef8637e85000 Limit fffffef8637e7f000 Call 0000000000000000
Priority 10 BasePriority 8 PriorityDecrement 0 IoPriority 2 PagePriority 5
Child-SP RetAddr Call Site
ffffef86`37e844d0 ffffff80`1151507d nt!KiSwapContext+0x76
ffffef86`37e84610 ffffff80`11513f04 nt!KiSwapThread+0xbfd
ffffef86`37e846b0 ffffff80`115136a5 nt!KiCommitThreadWait+0x144
ffffef86`37e84750 ffffff80`114dea6e nt!KeWaitForSingleObject+0x255
ffffef86`37e84830 fffffdfa3`9b92962e nt!KeWaitForMultipleObjects+0x54e
ffffef86`37e84940 fffffdfa3`9b929c55 win32kfull!xxxRealSleepThread+0x2be
ffffef86`37e84a70 fffffdfa3`9b91c225 win32kfull!xxxSleepThread2+0xb5
ffffef86`37e84ac0 ffffff80`115d3c15 win32kfull!NtUserWaitMessage+0x65
ffffef86`37e84b00 00007ffc`3fb71224 nt!KiSystemServiceCopyEnd+0x25 (TrapFrame @ fffffef86`37e84b00)
0000003c`7f3ff748 00007ffc`4083bf90 win32u!NtUserWaitMessage+0x14
0000003c`7f3ff750 00007ffc`4083bcff USER32!DialogBox2+0x260
0000003c`7f3ff7f0 00007ffc`40882f99 USER32!InternalDialogBox+0x11b
0000003c`7f3ff850 00007ffc`408819d5 USER32!SoftModalMessageBox+0x7e9
0000003c`7f3ff9a0 00007ffc`40882712 USER32!MessageBoxWorker+0x319
0000003c`7f3ffb50 00007ffc`4088279e USER32!MessageBoxTimeoutW+0x192
>>> 0000003c`7f3ffc50 00007ffc`3d2b23ff USER32!MessageBoxW+0x4e
0000003c`7f3ffc90 00007ffc`4ed41299 apphelp!MbHook_MessageBoxW+0x2f
0000003c`7f3ffce0 00007ffc`4ed42c89 ApplicationA+0x1299
0000003c`7f3ffd10 00007ffc`41937bd4 ApplicationA+0x2c89
0000003c`7f3ffd40 00007ffc`425cce51 KERNEL32!BaseThreadInitThunk+0x14
0000003c`7f3ffd70 00000000`00000000 ntdll!RtlUserThreadStart+0x21
```

To Be Discussed Later

Complete Dump Analysis
Exercise

Example: Wait Chain

```

THREAD fffff930ac2a850c0 Cid 1da4.0aa0 Teb: 0000005d75b4d000 Win32Thread: 0000000000000000 WAIT: (UserRequest) UserMode Non-Alertable
>>> fffff930ac4f05ad0 Mutant - owning thread fffff930ac230f080
Not impersonating
DeviceMap fffffcf8978c103a0
Owning Process fffff930ac236e080 Image: ApplicationC.exe
Attached Process N/A Image: N/A
Wait Start TickCount 42255 Ticks: 7792 (0:00:02:01.750)
Context Switch Count 6 IdealProcessor: 0
UserTime 00:00:00.000
KernelTime 00:00:00.000
Win32 Start Address ApplicationC (0x00007ff7b8f62ce0)
Stack Init fffffef8637ebcc90 Current fffffef8637ebc6e0
Base fffffef8637ebd000 Limit fffffef8637eb7000 Call 0000000000000000
Priority 9 BasePriority 8 PriorityDecrement 0 IoPriority 2 PagePriority 5
Child-SP RetAddr Call Site
ffffef86`37ebc720 ffffff80`1151507d nt!KiSwapContext+0x76
ffffef86`37ebc860 ffffff80`11513f04 nt!KiSwapThread+0xbfd
ffffef86`37ebc900 ffffff80`115136a5 nt!KiCommitThreadWait+0x144
ffffef86`37ebc9a0 ffffff80`11abd2bb nt!KeWaitForSingleObject+0x255
ffffef86`37ebca80 ffffff80`115d3c15 nt!NtWaitForSingleObject+0x10b
ffffef86`37ebcb00 00007ffc`425fc0f4 nt!KiSystemServiceCopyEnd+0x25 (TrapFrame @ fffffef86`37ebcb00)
0000005d`763ffdb8 00007ffc`3f8a8b03 ntdll!NtWaitForSingleObject+0x14
0000005d`763ffdc0 00007ff7`b8f6136c KERNELBASE!WaitForSingleObjectEx+0x93
0000005d`763ffe60 00007ff7`b8f62d3d ApplicationC+0x136c
0000005d`763ffea0 00007ffc`41937bd4 ApplicationC+0x2d3d
0000005d`763ffed0 00007ffc`425cce51 KERNEL32!BaseThreadInitThunk+0x14
0000005d`763fff00 00000000`00000000 ntdll!RtlUserThreadStart+0x21

```

Example: Consumption

```
0: kd> !process 0 0
**** NT ACTIVE PROCESS DUMP ****
PROCESS ffff930abce80040
  SessionId: none  Cid: 0004    Peb: 00000000  ParentCid: 0000
  DirBase: 001ad002  ObjectTable: fffffcf896e606580  HandleCount: 3423.
  Image: System

PROCESS ffff930abcee2080
  SessionId: none  Cid: 0058    Peb: 00000000  ParentCid: 0004
  DirBase: 00222002  ObjectTable: fffffcf896e60ca80  HandleCount: 0.
  Image: Registry

PROCESS ffff930ac005a040
  SessionId: none  Cid: 0144    Peb: 8ed0d35000  ParentCid: 0004
  DirBase: 1006ed002  ObjectTable: fffffcf896ec2ab00  HandleCount: 53.
  Image: smss.exe

PROCESS ffff930ac015f080
  SessionId: 0  Cid: 01a0    Peb: e57797b000  ParentCid: 0198
  DirBase: 1056b0002  ObjectTable: fffffcf896ec2b7c0  HandleCount: 512.
  Image: csrss.exe

[...]

PROCESS ffff930ac2be5080
  SessionId: 1  Cid: 0c58    Peb: 56ece5a000  ParentCid: 1600
>>> DirBase: 86166002  ObjectTable: fffffcf897a694bc0  HandleCount: 20055.
  Image: ApplicationE.exe

[...]
```

Example: Corruption

```

THREAD ffff930ac4dda500 Cid 1df8.0714 Teb: 0000000000712000 Win32Thread: 0000000000000000 WAIT: (UserRequest) UserMode Alertable
    ffff930ac268bb60 NotificationEvent
    ffff930ac61f7080 ProcessObject
Not impersonating
DeviceMap ffffcf8978c103a0
Owning Process ffff930ac63230c0 Image: ApplicationD.exe
Attached Process N/A Image: N/A
Wait Start TickCount 42613 Ticks: 7434 (0:00:01:56.156)
Context Switch Count 16 IdealProcessor: 0
UserTime 00:00:00.000
KernelTime 00:00:00.000
Win32 Start Address ApplicationD (0x00007ff625ec1318)
Stack Init fffffef8637f6bc90 Current fffffef8637f6af30
Base fffffef8637f6c000 Limit fffffef8637f66000 Call 0000000000000000
Priority 9 BasePriority 8 PriorityDecrement 0 IoPriority 2 PagePriority 5
Child-SP RetAddr Call Site
ffffef86`37f6af70 ffffff80`1151507d nt!KiSwapContext+0x76
ffffef86`37f6b0b0 ffffff80`11513f04 nt!KiSwapThread+0xbfd
ffffef86`37f6b150 ffffff80`114de7a7 nt!KiCommitThreadWait+0x144
ffffef86`37f6b1f0 ffffff80`11a90659 nt!KeWaitForMultipleObjects+0x287
ffffef86`37f6b300 ffffff80`11a90375 nt!ObWaitForMultipleObjects+0x2a9
ffffef86`37f6b800 ffffff80`115d3c15 nt!NtWaitForMultipleObjects+0x105
ffffef86`37f6ba90 00007ffc`425fcbc4 nt!KiSystemServiceCopyEnd+0x25 (TrapFrame @ fffffef86`37f6bb00)
[...]
00000000`00f9e7a0 00007ffc`425c9fc3 ntdll!RtlDispatchException+0x219
00000000`00f9eeb0 00007ffc`42659229 ntdll!RtlRaiseException+0x153
00000000`00f9f6a0 00007ffc`426591f3 ntdll!RtlReportFatalFailure+0x9
00000000`00f9f6f0 00007ffc`426615e2 ntdll!RtlReportCriticalFailure+0x97
00000000`00f9f7e0 00007ffc`426618ea ntdll!RtlpHeapHandleError+0x12
00000000`00f9f810 00007ffc`4266a8a9 ntdll!RtlpHpHeapHandleError+0x7a
00000000`00f9f840 00007ffc`425a080d ntdll!RtlpLogHeapFailure+0x45
00000000`00f9f870 00007ffc`4259fb91 ntdll!RtlpFreeHeapInternal+0x80d
00000000`00f9f920 00007ff6`25ec1274 ntdll!RtlFreeHeap+0x51
00000000`00f9f960 00007ff6`25ec10c3 ApplicationD+0x1274
[...]

```


Example: Special Process

```
0: kd> !vm
```

```
[...]
```

564	svchost.exe	6264 Kb	1980 Kb	0 Kb
8c8	svchost.exe	6060 Kb	2692 Kb	0 Kb
a74	spoolsv.exe	5868 Kb	1988 Kb	0 Kb
be4	svchost.exe	5700 Kb	2068 Kb	0 Kb
10ac	svchost.exe	5672 Kb	2232 Kb	0 Kb
>>>	bd8 WerFault.exe	5384 Kb	4944 Kb	0 Kb
1128	svchost.exe	4968 Kb	2264 Kb	0 Kb
274	services.exe	4916 Kb	356 Kb	0 Kb
c28	svchost.exe	4860 Kb	2260 Kb	0 Kb
b0	cmd.exe	4692 Kb	356 Kb	0 Kb
1290	browser_broker.exe	4520 Kb	2564 Kb	0 Kb
1fbc	MicrosoftEdgeSH.exe	4480 Kb	5052 Kb	0 Kb
6dc	svchost.exe	4456 Kb	1936 Kb	0 Kb
84c	svchost.exe	4292 Kb	1952 Kb	0 Kb
e5c	NisSrv.exe	4288 Kb	2000 Kb	0 Kb
1c44	svchost.exe	4276 Kb	1984 Kb	0 Kb
c5c	svchost.exe	4164 Kb	1980 Kb	0 Kb
12f4	backgroundTaskHost.exe	4060 Kb	2812 Kb	0 Kb
e94	dllhost.exe	4012 Kb	1976 Kb	0 Kb
16b8	svchost.exe	3980 Kb	2692 Kb	0 Kb
1ce8	ctfmon.exe	3728 Kb	3512 Kb	0 Kb

```
[...]
```

To Be Discussed Later

Complete Dump Analysis
Exercise

Common Mistakes

- ⦿ Not switching to the appropriate context
- ⦿ Not looking at full stack traces
- ⦿ Not looking at all stack traces
- ⦿ Not using checklists
- ⦿ Not looking past the first found evidence
- ⦿ Not comparing to the reference debugger output
- ⦿ Not doing explicit symbol qualification: module!symbol

Note: Listing both x86 and x64 stack traces ([WinDbg.org](https://www.winDBG.org))

```
.load wow64exts  
!for_each_thread "!thread @#Thread 16;.thread /w @#Thread; .reload; kv 256; .effmach AMD64"
```

Agenda (Exercise)

- Run processes that model abnormal behavior
- Generate a complete memory dump
- Analyze the memory dump

Note: I did not make a complete memory dump downloadable. You can generate your own complete memory dump after downloading and running model applications

Exercise: Run Processes

These processes model specific patterns:

ApplicationA, ApplicationB, ApplicationC, ApplicationD, ApplicationE

For demonstration I run x64 versions plus x86 version of ApplicationA

Note: Run applications in alphabetical order

Can be downloaded from this location:

<http://www.patterndiagnostics.com/Training/Webinars/FCMDA-Examples.zip>

There are x86 and x64 versions

Exercise: Force A Dump

The system is x64 Windows 10

We use the following command:

```
C:\Tools>notmyfault64.exe /crash
```

Note: Wait at least 10 seconds after running model applications to have them properly initialize their dependencies

Exercise: Dump Analysis

Now I switch to a WinDbg session...

Agenda (Guide)

- ① Patterns related to complete memory dumps
- ② Pattern cooperation case studies from complete memory dumps
- ③ Pattern Map

Pattern Examples

Some basic analysis patterns that are relevant to complete memory dumps:

[Incorrect Symbolic Information](#)

[Semantic Split](#)

[Paged Out Data](#)

[Wait Chain \(thread objects\)](#)

[Wait Chain \(LPC/ALPC\)](#)

[Last Error Collection](#)

[Suspended Thread](#)

[Coupled Processes \(strong\)](#)

[Truncated Dump](#)

[Spiking Thread](#)

[Deadlock \(critical sections\)](#)

[Problem Vocabulary](#)

[Semantic Structures](#)

[Virtualized System](#)

[No System Dumps](#)

[Message Box](#)

[Inconsistent Dump](#)

[Wait Chain \(critical sections\)](#)

[Wait Chain \(process objects\)](#)

[Special Process](#)

[Historical Information](#)

[Stack Trace Collection](#)

[Insufficient Memory \(handle leak\)](#)

[Main Thread](#)

[Suspended Thread](#)

[Pleiades](#)

[Dual Stack Trace](#)

Case Studies

17 pattern interaction case studies using complete memory dumps:

<http://www.dumpanalysis.org/blog/index.php/category/complete-memory-dump-analysis/>

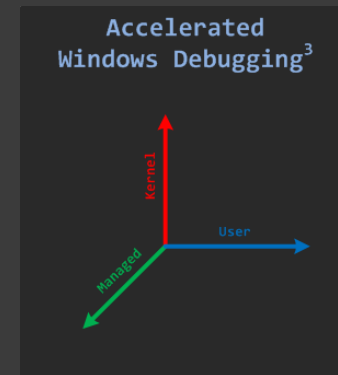
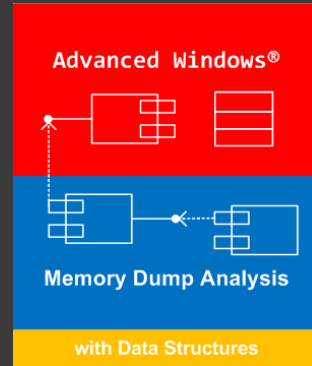
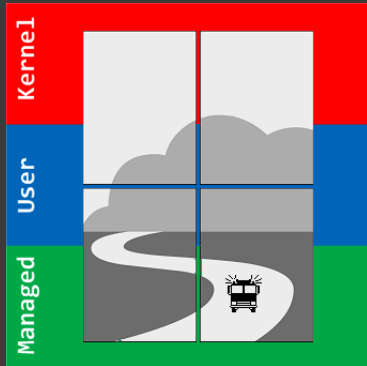
Reference Resources

- WinDbg Help / WinDbg.org (quick links)
- DumpAnalysis.org / SoftwareDiagnostics.Institute / PatternDiagnostics.com
- Debugging.TV / YouTube.com/DebuggingTV / YouTube.com/PatternDiagnostics
- [Encyclopedia of Crash Dump Analysis Patterns, 2nd edition](#)
- [Memory Dump Analysis Anthology](#) (Volume 13 is forthcoming in 2020)



Training Resources

- [Accelerated Windows Memory Dump Analysis, 4th + 5th editions](#)
- [Advanced Windows Memory Dump Analysis with Data Structures, 3rd edition](#)
- [Accelerated Windows Malware Analysis with Memory Dumps, 2nd edition](#)
- [Accelerated Windows Debugging³, 2nd edition](#)



Q&A

Please send your feedback using the contact form on www.PatternDiagnostics.com

Thank you for attendance!